



05-30-02

MP/3

PATENT  
Our Docket: P-IX 4976

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:  
Watkins et al.

Serial No.: 09/995,529

Filed: November 26, 2001

For: HUMANIZED COLLAGEN  
ANTIBODIES AND RELATED  
METHODSCOMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231  
Attention: BOX MISSING PARTS

) Examiner: Not Yet Known

) Group Art Unit: 1632

) CERTIFICATE OF MAILING BY "EXPRESS MAIL"

) "EXPRESS MAIL" MAILING LABEL NUMBER: EV 065746511 US

) DATE OF DEPOSIT: May 28, 2002

I HEREBY CERTIFY THAT THIS PAPER OR FEE IS BEING  
DEPOSITED WITH THE UNITED STATES POSTAL SERVICE  
"EXPRESS MAIL POST OFFICE TO ADDRESSEE" SERVICE UNDER  
37 C.F.R. 1.10 ON THE DATE INDICATED ABOVE, AND IS  
ADDRESSED TO: ATTN: Box Missing Parts, COMMISSIONER  
FOR PATENTS WASHINGTON, D.C. 20231.

CARRIE HINES

(TYPED OR PRINTED NAME OR PERSON MAILING PAPER OR FEE)

(SIGNATURE OF PERSON MAILING PAPER OR FEE)

**MISSING PARTS TRANSMITTAL**

Dear Sir:

In response to the Notice to File Missing Parts mailed  
December 28, 2001, enclosed are:

- ☒ 1. A copy of the Notice to File Missing Parts;
- ☒ 2. An executed Declaration for Patent Application;
- ☐ 3. A Recordation Form Cover Sheet and an executed Assignment;
- ☒ 4. Three executed Small Entity Statements;
- ☐ 5. An executed Power of Attorney for Patent Application by Assignee;
- ☐ 6. An executed Statement Under CFR 3.73(b) with copy of executed Assignment.
- ☒ 7. Petition for three-month extension of time (in duplicate).

The filing fee has been calculated as shown below:

	NUMBER FILED		NUMBER EXTRA		RATE		FEE	
					SMALL ENTITY	OTHER ENTITY	SMALL ENTITY	OTHER ENTITY
TOTAL CLAIMS	163-20	=	143	x	\$9	\$18	=	\$1287
INDEPEN- DENT CLAIMS	20-3	=	17	x	\$42	\$84	=	\$714
MULTIPLE DEPENDENT CLAIMS PRESENTED: <input checked="" type="checkbox"/> YES <input type="checkbox"/> NO					\$140	\$280	=	\$140
					BASIC FEE		\$370	\$740
					TOTAL FEE		\$2511	

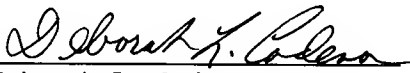
**BEST AVAILABLE COPY**

Inventors: Watkins et al.  
Serial No.: 09/995,529  
Filed: November 26, 2001  
Page 2

- X 8. A check in the amount of \$3,036.00 is enclosed, to cover the filing fee of \$2,511.00, the surcharge fee of \$65.00, and \$460.00 which covers the three-month extension of time.
- X The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 03-0370. A duplicate copy of this sheet is enclosed.
- X Any additional filing fees required under 37 C.F.R. 1.16.
- X Any patent application processing fees under 37 C.F.R. 1.17.
- X The Commissioner is hereby authorized to charge to Deposit Account No. 03-0370 any fees under 37 CFR 1.17 which may be required under 37 CFR 1.136(a)(3) for an extension of time in any concurrent or future reply requiring a petition for extension of time. A duplicate copy of this sheet is enclosed.

Respectfully submitted

Date: May 28, 2002

By:   
Deborah L. Cadena  
Reg. No. 44,048

CAMPBELL & FLORES LLP  
4370 La Jolla Village Drive  
7<sup>th</sup> Floor  
San Diego, California 92122  
Telephone: (858) 535-9001  
Facsimile: (858) 535-8949  
USPTO CUSTOMER NO. 23601

BEST AVAILABLE COPY

Document: COPY OF NOTICE TO FILE  
MISSING PARTS MAILED DECEMBER 28,  
2001  
Attorney Docket No: P-IX 4976



CERTIFICATE OF MAILING BY "EXPRESS MAIL"

"EXPRESS MAIL" MAILING LABEL NUMBER: EV 065746511 US

DATE OF DEPOSIT: May 28, 2002

I HEREBY CERTIFY THAT THIS PAPER OR FEE IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE "EXPRESS MAIL POST OFFICE TO ADDRESSEE" SERVICE UNDER 37 CFR 1.10 ON THE DATE INDICATED ABOVE AND IS ADDRESSED TO ATTN: BOX MISSING PARTS, COMMISSIONER FOR PATENTS, WASHINGTON, D.C. 20231.

CARRIE HINES

Printed Name of Person Mailing Paper or Fee

*Carrie Hines*  
Signature of Person Mailing Paper or Fee

BEST AVAILABLE COPY



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/995,529	11/26/2001	Jeffrey D. Watkins	P-IX 4976

23601  
CAMPBELL & FLORES LLP  
4370 LA JOLLA VILLAGE DRIVE  
7TH FLOOR  
SAN DIEGO, CA 92122

#5

CONFIRMATION NO. 2007

FORMALITIES LETTER



\*OC000000007238365\*

Date Mailed: 12/28/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$4282.
  - \$2574 for 143 total claims over 20.
  - \$1428 for 17 independent claims over 3 .
  - \$280 for multiple dependent claim surcharge.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 5152.

06/03/2002 MBIZUNES 00000045 09995529

01 FC:201  
02 FC:205  
03 FC:203  
04 FC:202  
05 FC:204

370.00 OP  
65.00 OP  
1287.00 OP  
714.00 OP  
140.00 OP

A copy of this notice **MUST** be returned with the reply.

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

BEST AVAILABLE COPY